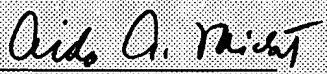




446915

Attorney Docket No.: **P0897P2**  
**PATENT**

CERTIFICATION UNDER 37 CFR 1.10	
FB/500.086.136 US: Express Mail Number	May 22, 1995: Date of Deposit
I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.	
	
Aida A. Miclat	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Sequence  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): **David V. Goeddel**  
**Mike Rothe**

For: **TUMOR NECROSIS FACTOR RECEPTOR-ASSOCIATED FACTORS**

1. **Type of Application**

This new application is for a(n)    original   x   continuation-in-part application.

2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)(Regular)**

  160   pages of specification  
    7   pages of claims  
    1   page(s) of abstract  
   22   sheet(s) of drawings  
    ☐ formal   ☒ informal

3. **Declaration or Oath**

  x   The declaration of the inventor(s) ☒ is enclosed   ☐ unsigned.

4. **Assignment**

  x   An Assignment of the invention to GENENTECH, INC. ☒ is enclosed with attached Recordation Form Cover Sheet   ☐ will follow.

5. **Fee Calculation (37 CFR 1.16)**

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$730.00
Total Claims	46	-20 =	16	x \$22.00	\$352.00
Independent Claims	18	- 3 =	15	x \$76.00	\$1,140.00
___ Multiple dependent claim(s), if any				+ \$240.00	\$0
Filing Fee Calculation					\$2,222.00

6. **Method of Payment of Fees**

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$2,222.00. **A duplicate copy of this transmittal is enclosed.**

7. **Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

8. **Additional Papers Enclosed**

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations  
☒ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.  
☐ Other:

Respectfully submitted,  
 GENENTECH, INC.

Date: May 22, 1995

By: 

Ginger R. Dreger  
 Reg. No. 33,055

460 Pt. San Bruno Blvd.  
 So. San Francisco, CA 94080-4990  
 Phone: (415) 225-3216  
 Fax: (415) 952-9881



446915

EXPRESS MAIL NO: FB 500 086 136 US  
DATED: 22 May 1995

PATENT DOCKET P0897P2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Goeddel and Rothe

Serial No. To be Assigned

Filed: 22 May 1995

For: TUMOR NECROSIS FACTOR RECEPTOR-  
ASSOCIATED FACTORS

Group Art Unit: Not Known

Examiner: Not Known

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to: Com-  
missioner of Patents and Trademarks, Washington,D.C., 20231 on 22 May 1995

(Date of Deposit)

CERTIFICATE RE: SEQUENCE LISTINGAida A. Miclat

Name of Depositing Party

Aida A. Miclat

Signature of Depositing Party

22 May 1995

Date of Signature

BOX SEQUENCE

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted with this application is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

Respectfully submitted,  
GENENTECH, INC.

Date: 22 May 1995

By: Ginger R. DregerGinger R. Dreger  
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